

PRIVACY POLICY

An important part of the relationship we have with our clients is the information they share with us. We want each client to know how we treat their private information.

We keep personal information such as Social Security Numbers and account balances confidential. We take steps to safeguard this data from anyone who should not have access to it. We do not sell this information to anyone.

In dealing with Doceo Wealth, LLC, clients can expect that we will take the steps outlined below to keep all their information confidential and secure.

OUR PRIVACY POLICY

In providing financial services and products to our clients, we collect certain non-public information about them. Our policy is to keep this information confidential and strictly safeguarded, and to use or disclose it only as needed to provide services to our clients, or as permitted by law. Protecting your privacy is important to us.

INFORMATION WE COLLECT

The non-public personal information we have about clients includes what they give us when opening an account or communicating with us. This could include:

- Name and address
- Social Security Number
- Investment objectives and experience
- Financial circumstances
- Employment history
- Account balance and account transactions

INFORMATION WE DISCLOSE

Doceo Wealth will not disclose information regarding you or your account, except under the following circumstances: (i) to entities that perform services for Doceo Wealth or function on its behalf, including financial service providers, such as a clearing broker-dealer, investment company, insurance company, other investment adviser or one of our affiliated entities; (ii) to third parties who perform services or marketing, client resource management or other parties to help manage your account; (iii) to your attorney, trustee or anyone else who represents you in a fiduciary capacity; (iv) to our attorneys, accountants or auditors; and (v) to government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

We do not sell personal client information to anyone.

subject to class action lawsuits. Doceo Wealth will, however, forward to the client any information received by Doceo Wealth regarding class action legal matters involving any security held in the client's account.

ITEM 18: FINANCIAL INFORMATION

A. BALANCE SHEET REQUIREMENT

Doceo Wealth is not the qualified custodian for client funds or securities and does not require prepayment of fees of more than \$1,200 per client, six (6) months or more in advance.

B. FINANCIAL CONDITION

Doceo Wealth does not have any financial impairment that would preclude the Firm from meeting contractual commitments to clients.

C. BANKRUPTCY PETITION

Doceo Wealth has not been the subject of a bankruptcy petition.